TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEYS DOCKET NO.

5426JS-6

U.S. APPLICATION NO. (If known, see 35 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/587,193 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 22 January 2004 PCT/JP2005/000790 21 January 2005 TITLE OF INVENTION GENETIC MARKERS LINKED TO FUSARIUM HEAD BLIGHT-RESISTANCE FACTOR AND UTILIZATION THEREOF APPLICANT(S) FOR DO/EO/US Kazuyoshi TAKEDA and Kazuhiro SATO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1.

This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. ☐ The US has been elected (Article 31). 4. 5. \square A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau h. is not required, as the application was filed in the United States Receiving Office (RO/US). c. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). △ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)). Items 11 to 10 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12.

A preliminary amendment. 13.

An Application Data Sheet under 37 CFR 1.76. 14.

A substitute specification. 15. A power of attorney and/or change of address letter. 16.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.

Page 1 of 2

17.

A second copy of the published international application under 35 U.S.C. 154(d)(4).

18.

A second copy of the English language translation of the international application under 35 U.S.C. 1.54(d)(4).

| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | INTERNATIONAL APPLICATION NO. | | | ATTORNEY'S DOCKET NUMBER | | | |
|--|--|---|---------------------------------|----------------|-------------------|---|---------|-------------------------------|--------------------------|------|------|-----------------|
| 10/587,193 | | | | | PCT/JP2005/000790 | | | | 5426JS-6 | | | |
| 21. The following fees are submitted: | | | | | | | | | Applicant use | | | Office use only |
| | a) Basic national fee | | | | | | | \$300.00 | \$ | | | |
| | \$200.00 | | | | | | | \$200.00 | \$ | | | |
| | \$500.00 | | | | | | | | \$ | | | |
| | | | | | | | | \$1,000.00 | \$ | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | | | |
| Tota | l Sheets | | Extra Sheets | Number o | | ch additional 50 or fract nd up to a whole numbe | | RATE | | | | |
| | | -100 = | /50 = | | | | | x \$250.00 | \$ | | | |
| Surch claim | arge of \$ | 130.00 for y date (37 | funishing the oat CFR 1.492(e)) | h or declarati | on l | ater than 30 months from | n the e | arliest | \$ | 130 | 0.00 | |
| | CLAIM | S | NUMBE | R FILED | | NUMBER EXTRA | | RATE | | | | |
| Total | claims | | | - 20 | = | | | x \$50.00 | \$ | | | |
| Independent claims | | | - 3 = | | | | | x \$200.00 | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | | + \$360.00 | | | + \$360.00 | \$ | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | | | \$ | | | |
| | Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$ by 1/2. | | | | | | | | | | | |
| SUBTOTAL = \$ | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | | | | | \$ | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property + | | | | | | | | \$ | | | | |
| | TOTAL FEES ENCLOSED = | | | | | | | \$ | 13 | 0.00 | \$ | |
| | | | | | | | | be refunded: o be charged: | -L | | // | \$ |
| | | | | 4 | 61- | | | o se chargear | | | | |
| a. | Describe Account No. 10,1070 in the amount of \$ 130,00 to cover the above fees. | | | | | | | | | | | |
| b. | A | A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| c. | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| d. | information should not be included on this form. Provide credit card information and authorization on F10-2036. | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | | |
| SHERIDAN ROSS P.C. 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141 Telephone: (303) 863-9700 Facsimile: (303) 863-0223 CUSTOMER NO. 22442 SIGNATURE Joseph E. Kovarik Registration No. 33,005 | | | | | | | | | | | | |



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/587,193

Kazuyoshi Takeda

5426JS-6

INTERNATIONAL APPLICATION NO

PCT/JP05/00790

1A. FILING DATE 01/21/2005

PRIORITY DATE 01/22/2004

22442 SHERIDAN ROSS PC 1560 BROADWAY SUITE 1200 DENVER, CO 80202



SHERIDAN ROSS PC

CONFIRMATION NO. 4884
371 FORMALITIES LETTER

OC000000022021689

Date Mailed: 01/18/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/24/2006
- English Translation of the IA filed on 07/24/2006
- Copy of the International Search Report filed on 07/24/2006
- Copy of IPE Report filed on 07/24/2006
- Preliminary Amendments filed on 07/24/2006
- Information Disclosure Statements filed on 07/24/2006
- Biochemical Sequence Diskette filed on 07/24/2006
- Biochemical Sequence Listing filed on 07/24/2006
- Request for Immediate Examination filed on 07/24/2006
- U.S. Basic National Fees filed on 07/24/2006
- Priority Documents filed on 07/24/2006
- Specification filed on 07/24/2006
- Claims filed on 07/24/2006
- Abstracts filed on 07/24/2006
- Drawings filed on 07/24/2006
- Paper nucleotide sequence listings filed on 07/24/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

 To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.
 - · A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

| | DOT/ID05/00700 | 542618-6 |
|-----------------------------|-------------------------------|-----------------|
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY: DOCKET NO |
| | | |

FORM PCT/DO/EO/905 (371 Formalities Notice)